



# Cylindrical trees of pin fins

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## Abstract

In this paper, we extend the constructal optimization method to cylindrical assemblies of pin fins. The assembly is arranged as a tree with one stem and many radial branches. The optimization consists of maximizing the global conductance subject to fixed total volume and amount of fin material. The length scale of the spacing between adjacent elemental fins is selected based on earlier results regarding the forced convection of compact electronic packages. The optimized features of the tree construct are the external shape (height/diameter) and the internal ratio between the stem diameter and the diameter of the elemental fins. The paper shows how the geometric optimum responds to changes in the remaining parameters of the design: the volume fraction occupied by fin material, the free stream velocity, and the Prandtl number. The optimized geometry is relatively robust. It is shown that optimized trees with tapered fins have slightly larger global conductances, but nearly the same external and internal aspect ratios. This last comparison also shows that the more efficient trees look more natural. © 2000 Elsevier Science Ltd. All rights reserved.

## 1. Introduction

Complex networks of fins are an important class of heat transfer devices in the cooling of electronics and other applications [1–4]. The importance and complexity of these devices promise to increase as miniaturization continues, and as volumetric heat transfer rates increase. The most common fin network is the tree, in which several fins are attached to a stem that makes contact with the wall. This conductive–convective connection between the wall and the cooling fluid is also known as a fin bush or fin tower. Similar fin assemblies are of interest in chemical engineering, entropy generation minimization and fractal geometry [5–7].

The tree is the flow structure that connects one

point (source, sink) with a volume (an infinity of points). More recently, this structure has become the focus of *constructal theory* [8] — the thought that the geometric form visible in natural flow systems is generated by (i.e., it can be deduced from) a single principle that holds the rank of law [9]: “for a finite-size system to persist in time, it must evolve in such a way that it provides easier access to the imposed currents that flow through it.”

The constructal optimization of paths for internal currents was first proposed in the context of urban growth [10] and pure heat conduction [9]. In the latter, the channels were inserts of high thermal conductivity in a background medium (the interstitial material) that had lower thermal conductivity. The volume generated heat at every point, and was cooled from a single point (the sink). The method was, since, extended to fluid flow [11] by recognizing the heterogeneity associated with low-resistance flow through tubes embedded

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**Nomenclature**

$A$	area, $m^2$	$T_1$	central stem temperature, K
$D$	diameter, m	$T_\infty$	free stream temperature, K
$h$	heat transfer coefficient, $W m^{-2} K^{-1}$	$U$	free stream velocity, $m s^{-1}$
$H$	length, m	$W$	length, m
$H_1$	diameter of first construct, m	$V$	volume, $m^3$
$k$	thermal conductivity, $W m^{-1} K^{-1}$	$V_f$	fin volume, $m^3$
$L$	length, m		
$m_{0,1}$	fin parameters, $m^{-1}$ , Eqs. (5) and (8)		
$n_1$	number of fins	<i>Greek symbols</i>	
$N$	number of cylinders	$\nu$	kinematic viscosity, $m^2 s^{-1}$
$Pr$	Prandtl number	$\phi$	fin volume fraction
$q, \dot{Q}$	heat transfer rate, W		
$Re_{D_0, L}$	Reynolds numbers, $(D_0, L) U/\nu$	<i>Subscripts</i>	
$Re$	Reynolds number, $V^{1/3}U/\nu$	0	elemental volume
$S$	spacing, m	1	first construct
$T_b$	base temperature, K		
$T_w$	wall temperature, K	<i>Superscript</i>	
		$\sim$	dimensionless notation, Eqs. (19)–(21)

through a diffusive material with higher resistance (e.g., Darcy flow).

The method was also extended to combined conduction and convection in the optimization of two-dimensional trees of plate fins [12]. The objective of the present paper is to continue on this path, and to con-

sider three-dimensional constructs of cylindrical pin fins (Fig. 1). Unlike in the fin-tree studies mentioned in the preceding paragraphs, the focus of the present paper is on geometric optimization, not on analysis. We rely on simplifying assumptions. We are interested in a simple and robust method that leads not only to

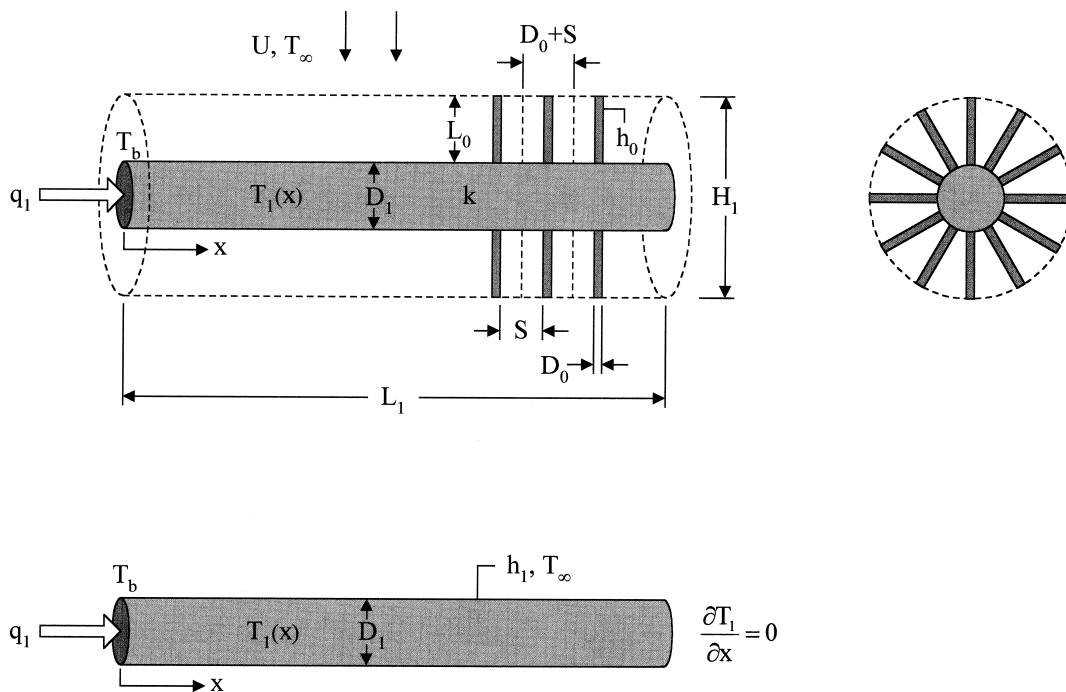


Fig. 1. Cylindrical assembly of radial pin fins bathed by a free stream.

the optimal architecture of the point-to-volume heat path, but also the existence of the fin tree as the visible component of that path.

**2. Model and analysis**

Consider the assembly of pin fins shown in Fig. 1. A large number ( $n_1$ ) of pin fins is mounted radially on a common cylindrical stem of length  $L_1$  and diameter  $D_1$ . The free ends of the pin fins describe a cylindrical surface of diameter  $H_1$ . Each pin fin has the diameter  $D_0$  and length  $L_0$ . This assembly is a “first construct” in the sense of Ref. [9], such that the space allocated to a single pin fin plays the role of elemental volume.

If  $S$  is the spacing between adjacent pin fins (Fig. 1, detail), we may approximate each elemental volume as a cylinder of diameter  $(D_0 + S)$ :

$$V_0 = \frac{\pi}{4}(D_0 + S)^2 L_0 \tag{1}$$

This statement is approximate because, in general, two adjacent pin fins are not parallel: they are closer at the root than at the tip. The spacing  $S$  is an average (effective) length scale that accounts for the actual spacing that increases in the radial direction, away from the  $D_1$  stem. The correct scale of  $S$  follows from the requirement that the sum of the elemental volumes (1) must equal the annular volume that surrounds the  $D_1$  stem

$$\frac{\pi}{4}(H_1^2 - D_1^2)L_1 = n_1 V_0 \tag{2}$$

Another relation of geometric compatibility between the elemental volumes and the first-construct external dimension  $H_1$  is

$$H_1 = 2L_0 + D_1 \tag{3}$$

The assembly transfers heat from a base-wall of temperature  $T_b$  to a free stream of velocity  $U$  and temperature  $T_\infty$  (Fig. 1). The base heat current ( $q_1$ ) is divided into  $n_1$  elemental currents ( $q_0$ ), which are later transferred by convection to the fluid. We assume that the direct convective heat transfer between the  $D_1$  stem and the fluid is negligible relative to the  $n_1$  conduction heat currents that flow into the elemental pin fins. We also assume that the conduction along the  $D_1$  stem and the  $D_0$  pins conforms to the unidirectional model [1]: we monitored the validity of this assumption by checking the Biot number inequality  $hD_0/k \ll 1$ .

Let  $T_1(x)$  be the local temperature of the  $D_1$  stem, i.e. the base temperature of the pin fin(s) situated at the distance  $x$  away from the base  $T_b$ . The heat current drawn from the stem by each pin fin is [13]

$$q_0 = (T_1 - T_\infty) \left( k \frac{\pi}{4} D_0^2 h_0 \pi D_0 \right)^{1/2} \tanh(m_0 L_0) \tag{4}$$

where  $k$  is the thermal conductivity of the fin material,  $h_0$  is the heat transfer coefficient between the pin fin and the external stream, and  $m_0$  is the fin parameter

$$m_0 = \left( \frac{4h_0}{kD_0} \right)^{1/2} \tag{5}$$

According to the simplest fin conduction model, Eq. (4) is based on the assumptions that (i)  $h_0$  does not vary with position, and (ii) the heat transfer through the free end of the pin fin is negligible. Assumption (i) does not mean that  $h_0$  is a fixed parameter: we will see in Eq. (32) that  $h_0$  varies with the spacing  $S$ , which can be chosen optimally [14]. Assumption (ii) was made only for the sake of brevity in the writing of Eq. (4), in order to show explicitly what parameters influence the value of  $q_0$ . For the numerical results developed in Section 4, we used the complete  $q_0$  expression for a fin with non-negligible heat transfer through the tip; e.g., Eq. (41).

The final step in the heat transfer analysis is the relation between the overall conductance  $q_1/(T_b - T_\infty)$  and the cooling effect provided by the elemental heat currents of type  $q_0$ . This step is simplified considerably by the observation that although  $q_0$  varies along the  $D_1$  stream, each elemental conductance  $q_0/(T_1 - T_\infty)$  is independent of the axial position  $x$ . The heat transfer rate removed by pin fins from the  $D_1$  stem at  $x = \text{constant}$ , per unit length of stem, is  $q' = (n_1/L_1)q_0$ . Dividing  $q'$  by the perimeter of contact ( $\pi D_1$ ) and the temperature difference between the stem and the external fluid ( $T_1 - T_\infty$ ), we obtain an  $x$ -independent parameter:

$$h_1 = \frac{(n_1/L_1)q_0}{\pi D_1 (T_1 - T_\infty)} \tag{6}$$

Parameter  $h_1$  plays the same role as the convective heat transfer coefficient in the case where the  $D_1$  stem is bare and exposed directly to the stream. We rely on this analogy to invoke one more time the formula (4) for the base heat transfer rate through a cylindrical fin with insulated tip, this time for the  $D_1$  stem:

$$q_1 = (T_b - T_\infty) \left( k \frac{\pi}{4} D_1^2 h_1 \pi D_1 \right)^{1/2} \tanh(m_1 L_1) \tag{7}$$

$$m_1 = \left( \frac{4h_1}{kD_1} \right)^{1/2} \tag{8}$$

The objective is to determine the optimal architecture of the assembly such that the overall conductance  $q_1/(T_b - T_\infty)$  is maximal. This geometric optimization is subjected to two constraints, namely, the total volume

of the assembly

$$V_1 = \frac{\pi}{4} H_1^2 L_1 \quad (\text{constant}) \quad (9)$$

and the amount of fin material,

$$V_f = \frac{\pi}{4} D_1^2 L_1 + n_1 \frac{\pi}{4} D_0^2 L_0 \quad (\text{constant}) \quad (10)$$

An alternative to constraint (10) is the volume fraction ( $\phi$ ) occupied by the solid, which is a specified design parameter:

$$\phi = V_f / V \quad (\text{constant}) \quad (11)$$

In preparation for the numerical implementation of this analytical formulation, it is useful to nondimensionalize the equations by using  $V_1^{1/3}$  as length scale. The nondimensional counterparts to Eqs. (1)–(9) and (11) are, in order

$$(\tilde{H}_1^2 - \tilde{D}_1^2) \tilde{L}_1 = n_1 (\tilde{D}_0 + \tilde{S})^2 \tilde{L}_0 \quad (12)$$

$$\tilde{H}_1 = 2\tilde{L}_0 + \tilde{D}_1 \quad (13)$$

$$\tilde{q}_0 = \frac{\pi}{2} \tilde{D}_0^{3/2} \tilde{h}_0^{-1/2} \tanh(2\tilde{L}_0 \tilde{h}_0^{-1/2} \tilde{D}_0^{-1/2}) \quad (14)$$

$$\tilde{h}_1 = \frac{n_1 \tilde{q}_0}{\pi \tilde{D}_1 \tilde{L}_1} \quad (15)$$

$$\tilde{q}_1 = \frac{\pi}{2} \tilde{D}_1^{3/2} \tilde{h}_1^{-1/2} \tanh(2\tilde{L}_1 \tilde{h}_1^{-1/2} \tilde{D}_1^{-1/2}) \quad (16)$$

$$\tilde{H}_1^2 \tilde{L}_1 = 4/\pi \quad (17)$$

$$\phi = \frac{\tilde{D}_1^2 \tilde{L}_1 + n_1 \tilde{D}_0^2 \tilde{L}_0}{\tilde{H}_1^2 \tilde{L}_1} \quad (18)$$

with the following notation

$$(\tilde{D}_0, \tilde{L}_0, \tilde{S}, \tilde{D}_1, \tilde{L}_1, \tilde{H}_1) = \frac{(D_0, L_0, S, D_1, L_1, H_1)}{V_1^{1/3}} \quad (19)$$

$$\tilde{q}_0 = \frac{q_0}{(T_1 - T_\infty) k V_1^{1/3}} \quad \tilde{q}_1 = \frac{q_1}{(T_b - T_\infty) k V_1^{1/3}} \quad (20)$$

$$\tilde{h}_0 = \frac{h_0 V_1^{1/3}}{k} \quad \tilde{h}_1 = \frac{h_1 V_1^{1/3}}{k} \quad (21)$$

The system of seven equations (12)–(18) contains 11 unknowns:  $\tilde{D}_0$ ,  $\tilde{L}_0$ ,  $\tilde{S}$ ,  $\tilde{D}_1$ ,  $\tilde{L}_1$ ,  $\tilde{H}_1$ ,  $n_1$ ,  $\tilde{h}_0$ ,  $\tilde{h}_1$ ,  $\tilde{q}_0$ , and  $\tilde{q}_1$ .

One way to structure the optimization is to assume that the heat transfer coefficient  $\tilde{h}_0$  is also a specified “external” parameter, that accounts for the velocity and thermophysical properties of the fluid stream. This approach is analogous to what is usually done in analyses of single fins, e.g., Eqs. (4) and (7). In this case the number of unknowns would be 10, and the geometry of the assembly would have 3 degrees of freedom. We did not follow this route, for the reasons given in the next section.

### 3. Optimal internal spacings

The main objection to assuming that  $\tilde{h}_0$  can be specified a priori is that, inside the assembly, the heat transfer coefficient on each pin fin is influenced by the neighboring fins. Recent work [15,16] on the single-phase cooling of arrays of heat-generating components (e.g., electronics) and compact heat exchangers has shown that the overall thermal conductance between a stream of coolant and the volume that contains the array can be maximized by choosing the proper spacing between components, or the proper number of components to be installed in the given volume. An optimal spacing exists because in one extreme (small spacings) the coolant cannot flow easily through the array, while in the other extreme (large spacings) the contact area for heat transfer to the coolant is not sufficient.

By recognizing this trade off at this early stage, we can optimize the  $\tilde{S}$  value and the corresponding  $\tilde{h}_0$  value, accounting in this way for the fact that in any real assembly the heat transfer coefficient depends on internal dimensions and relative positions. The  $\tilde{S}$  and  $\tilde{h}_0$  information provided by this preliminary step reduces the number of remaining degrees of freedom to two, cf. the last paragraph of the preceding section.

The literature on optimal internal spacings [15] reports many concrete results, which depend on the assumed shape and orientation of each heat-generating component in the array. The optimal-spacing formulas appear different at first, but they convey the same message. For example, when the array consists of many parallel cylinders of diameter  $D_0$  installed in a fixed volume with the dimension  $H$  in the direction of the stream of coolant, the optimal cylinder-to-cylinder spacing is given by [17]

$$\frac{S_{\text{opt}}}{D_0} \cong 1.7 Pr^{-0.24} \left( \frac{H}{D_0} \right)^{0.52} Re_{D_0}^{-0.26} \quad (22)$$

This correlation is backed by a large volume of heat transfer information collected from many decades of heat exchanger development and by recent numerical and experimental results. Eq. (22) was shown to be

valid in the range  $140 < Re_{D_0} < 14,000$ , where  $Re_{D_0} = UD_0/\nu$ .

A more recent study [14] showed numerically and experimentally that an optimal spacing also emerges when the flow is three-dimensional, as in an array of pin fins planted on a square base of side  $L$ , with the flow ( $U$ ) impinging perpendicularly on that base. The optimal spacing is correlated within 16% by the expression

$$\frac{S_{opt}}{L} \cong 0.81 Pr^{-0.25} Re_L^{-0.32} \tag{23}$$

which was tested in the range  $0.06 < D_0/L < 0.14$ ,  $0.28 < W/L < 0.56$ ,  $0.72 < Pr < 7$ ,  $10 < Re_{D_0} < 700$  and  $90 < Re_L < 6,000$ . The pin fin cross-section was a square with the side  $D_0$ . The pin length was  $W$ .

The interesting and very useful aspect of the apparently different correlations (22) and (23) is that they contain nearly the same information. Eq. (23) can be rewritten as

$$\frac{S_{opt}}{D_0} \cong 0.81 Pr^{-0.25} \left(\frac{L}{D_0}\right)^{0.68} Re_L^{-0.32} \tag{24}$$

which comes surprisingly close to Eq. (22), qualitatively and quantitatively, in spite of the three-dimensional flow pattern and square shape of each pin. The dimension  $L$  of the square base of the array with impinging flow, Eq. (24), plays the role of the swept length  $H$  of the array with parallel cylinders in cross-flow, Eq. (22).

The geometry of present assembly (Fig. 1) has features in common with the two configurations covered by Eqs. (22) and (24). The flow through the  $D_0$  fins is two-dimensional: it is similar to cross-flow near the fins that are close to the perpendicular to the approach velocity  $U$ , and similar to impinging flow near the fins that point toward the approaching stream. In choosing between Eqs. (22) and (24), we retain Eq. (22) because it is supported by a much larger body of heat exchanger data, and because its domain of tested validity is considerably wider. With reference to the dimensions and orientation of the present cylindrical assembly (Fig. 1), we use  $H_1$  in place of  $H$  in Eq. (22), and non-dimensionalize all the lengths in accordance with Eq. (19):

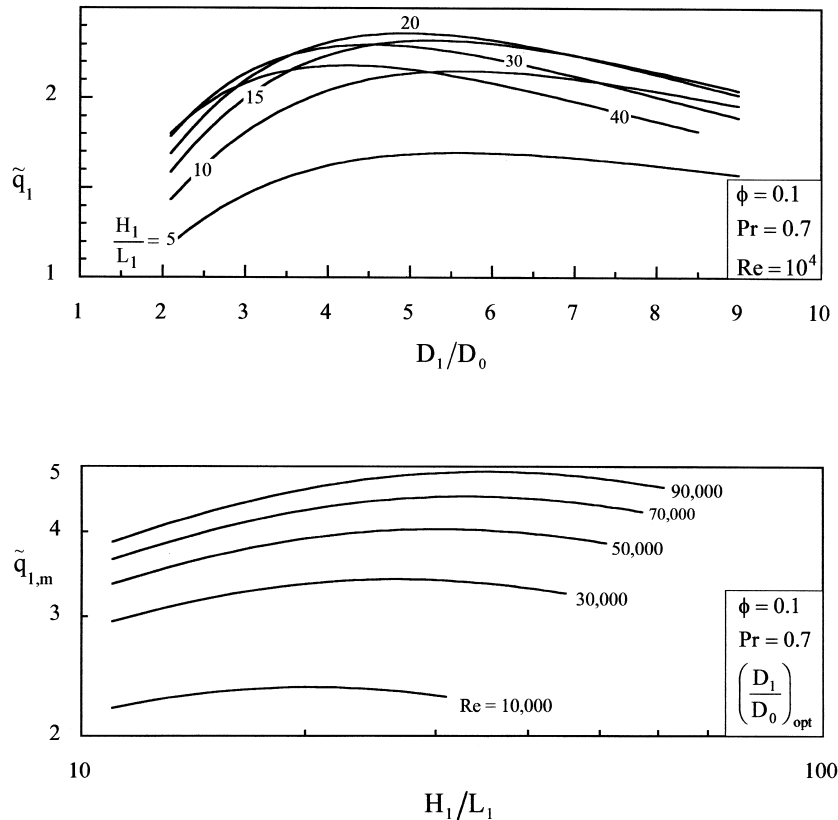


Fig. 2. The maximization of the global thermal conductance with respect to the internal and external aspect ratios of the assembly.

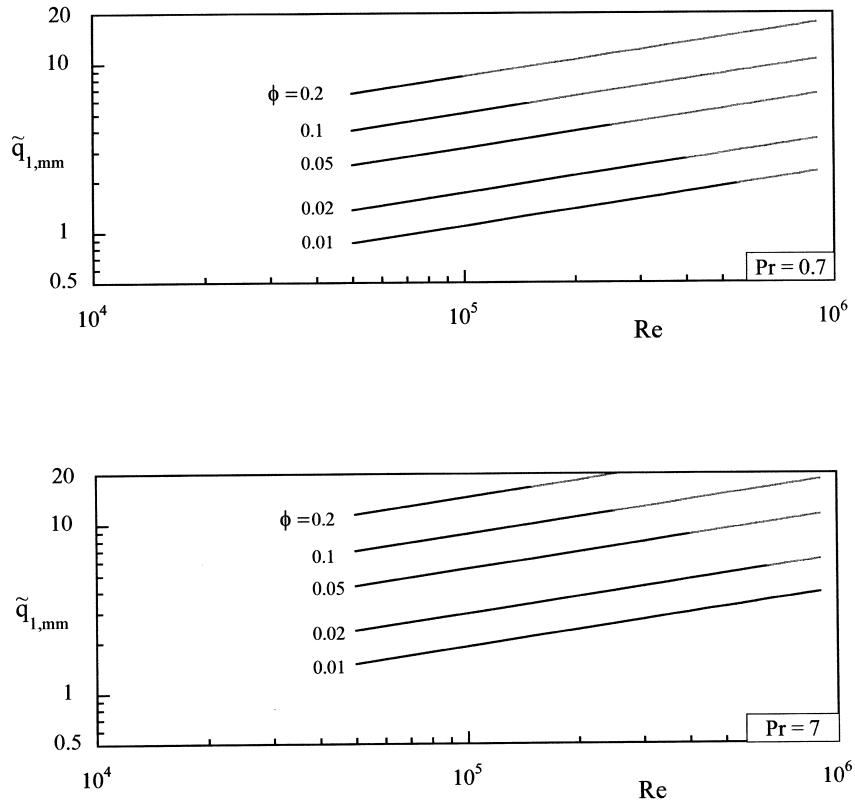


Fig. 3. The maximized global thermal conductance, and the effect of changing  $\phi$ ,  $Re$  and  $Pr$ .

$$\tilde{S} \cong 1.7Pr^{-0.24} Re^{-0.26} \tilde{H}_1^{0.52} \tilde{D}_0^{-0.22} \tag{25}$$

The Reynolds number  $Re$  is now a specified parameter, because it is based on  $V_1^{1/3}$  as length scale,

$$Re = UV_1^{1/3}/\nu \quad (\text{constant}) \tag{26}$$

The relation between  $Re$  and  $Re_{D_0}$  is  $Re_{D_0} = \tilde{D}_0 Re$ . The specified  $Re$  value must be such that the validity domain listed under Eq. (22) is respected,

$$(140/\tilde{D}_0) < Re < (14,000/\tilde{D}_0) \tag{27}$$

Next, we turn our attention to the heat transfer coefficient  $\tilde{h}_0$  that corresponds to the optimal spacing and flow conditions mentioned in Eq. (25). The minimized global resistance between the array of cylinders in cross-flow has been correlated with the formula [17]

$$\frac{T_w - T_\infty}{\dot{Q}} \cong \frac{4.5}{Re_{D_0}^{0.9} Pr^{0.64}} \frac{D_0}{kLW} \tag{28}$$

The cylinder temperature is  $T_w$ . The array occupies the fixed volume  $HLW$ , where  $H$  is the dimension aligned with the stream ( $U$ ),  $W$  is the length of each  $D_0$  cylinder, and  $L$  is the second dimension perpendicular to

the stream (i.e., the frontal cross-section of the array is  $LW$ ). We may rewrite Eq. (28) in terms of the array-averaged heat transfer coefficient  $h_0$ , which is defined by

$$h_0 = \frac{\dot{Q}}{A(T_w - T_\infty)} \tag{29}$$

The total area for heat transfer is

$$A = N\pi D_0 W \tag{30}$$

where  $N$  is the number of cylinders present in the volume  $HLW$ . When the cylinder centers form equilateral triangles, as in the arrays for which the correlation (28) was developed, that number is

$$N = \frac{HL}{(S + D_0)^2 \cos 30^\circ} \tag{31}$$

Combining Eqs. (28)–(31), and using the dimensionless notation (19) and (21) with  $\tilde{H} = \tilde{H}_1$ , we obtain

$$\tilde{h}_0 \cong 0.0613Pr^{0.64} Re^{0.9} \frac{(\tilde{S} + \tilde{D}_0)^2}{\tilde{H}_1 \tilde{D}_0^{1.1}} \tag{32}$$

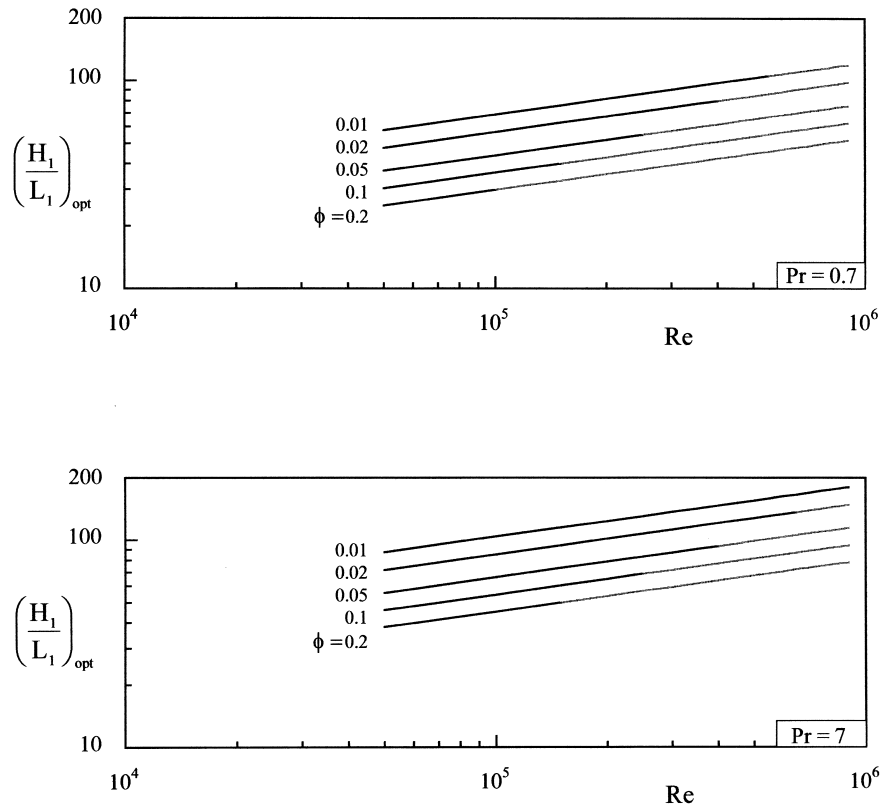


Fig. 4. The optimized external aspect ratio of the cylindrical assembly.

In summary, the heat transfer performance of the cylindrical assembly is described by a system of nine equations [namely, Eqs. (12)–(18), (25) and (32)], that contain the 11 unknowns listed under Eq. (21). This means that the constrained geometry of the assembly has two degrees of freedom. The earlier work on tree networks for pure conduction showed that the overall conductance of the assembly can be maximized with respect to the external shape of the assembly ( $H_1/L_1$  in Fig. 1) and the ratio of internal channel thicknesses ( $D_1/D_0$  in Fig. 1). The same behavior was observed in the present study. In the numerical optimization of the assembly geometry we minimized  $\tilde{q}_1$  with respect to  $H_1/L_1$  and  $D_1/D_0$  subject to fixed  $\phi$  and  $Re$ .

**4. Numerical optimization**

Let  $x$  and  $y$  represent the two independent geometric variables,  $x = H_1/L_1$  and  $y = D_1/D_0$ . The governing equations can be rearranged so that we may calculate the remaining variables as functions of  $x$ ,  $y$ ,  $\phi$  and  $Re$ :

$$\tilde{H}_1 = (4x/\pi)^{1/3} \quad \tilde{L}_1 = \tilde{H}_1/x \quad (33, 34)$$

$$\begin{aligned} &\tilde{D}_1^{3.22} - \frac{1.18(\phi - 1)Pr^{0.24} Re^{0.26}}{\pi \tilde{L}_1 y^{1.22} \tilde{H}_1^{0.52}} \tilde{D}_1^{2.44} \\ &+ \frac{0.85y^{1.22} \tilde{H}_1^{0.52}}{Pr^{0.24} Re^{0.26}} \tilde{D}_1^2 - \frac{4\phi}{\pi \tilde{L}_1} \tilde{D}_1^{1.22} \\ &- \frac{3.4\phi y^{1.22} \tilde{H}_1^{0.52}}{\pi \tilde{L}_1 Pr^{0.24} Re^{0.26}} \\ &= 0 \end{aligned} \quad (35)$$

$$\tilde{D}_0 = \tilde{D}_1/y \quad \tilde{L}_0 = \frac{1}{2}(\tilde{H}_1 - \tilde{D}_1) \quad (36, 37)$$

$$n_1 = \left( \frac{8\phi}{\pi \tilde{D}_1^2} - 2\tilde{L}_1 \right) \frac{y^2}{\tilde{H}_1 - \tilde{D}_1} \quad (38)$$

$$\tilde{S} = \frac{1.7\tilde{H}_1^{0.52} y^{0.22}}{Pr^{0.24} Re^{0.26} \tilde{D}_1^{0.22}} \quad (39)$$

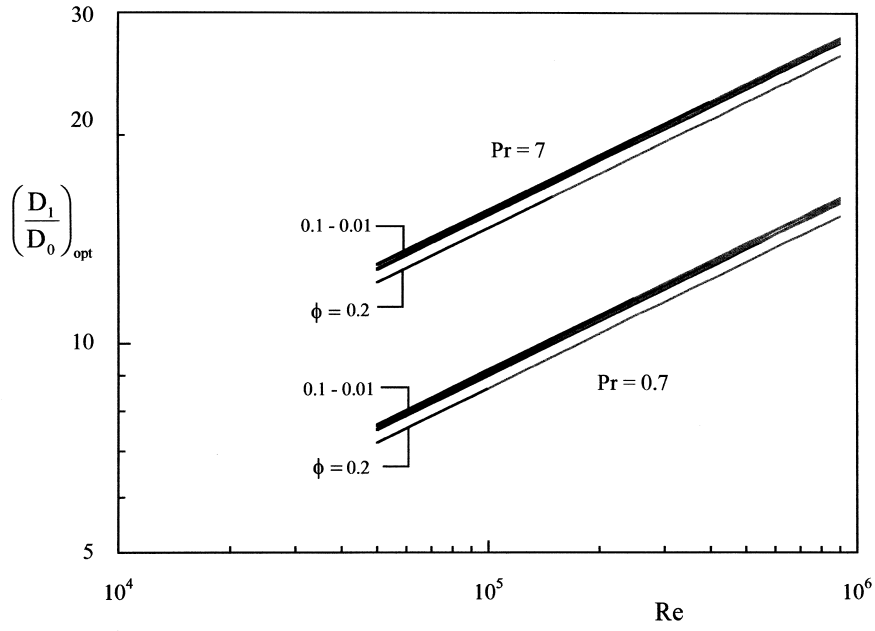


Fig. 5. The optimized ratio of fin thicknesses.

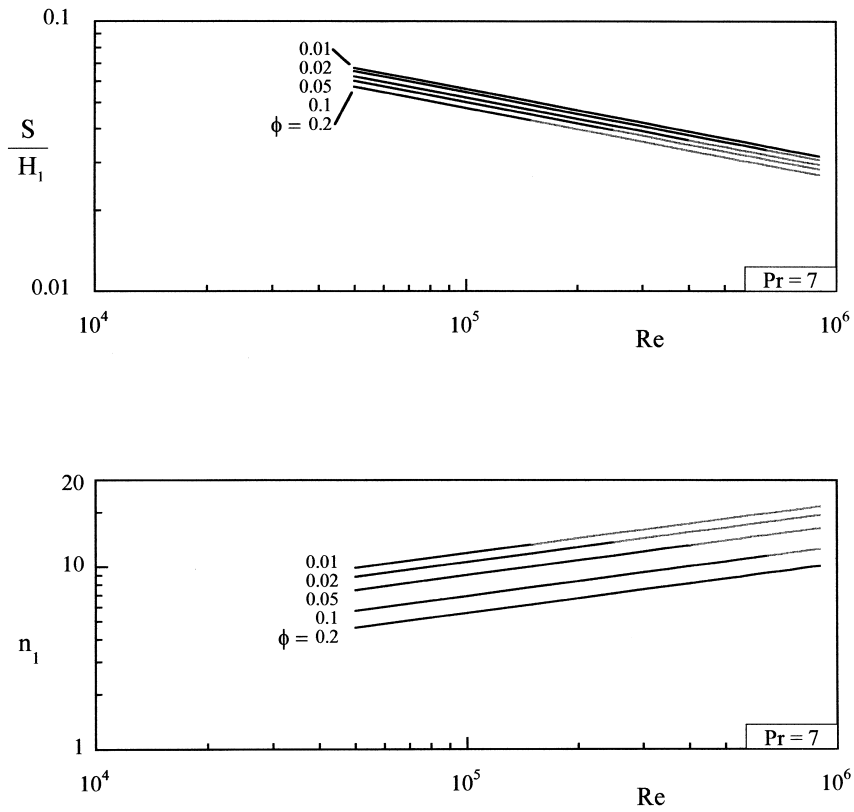


Fig. 6. The average spacing between elemental fins, and the number of elemental fins that corresponds to the optimized geometry of Figs. 3-5.



$$\tilde{h}_0 = 0.0613 Pr^{0.64} Re^{0.9} \frac{(\tilde{S} + \tilde{D}_0)^2}{\tilde{H}_1 \tilde{D}_0^{1.1}} \tag{40}$$

$$\tilde{q}_0 = \frac{\pi}{2} \tilde{D}_0^{3/2} \tilde{h}_0^{-1/2} \left[ \frac{(\tilde{h}_0 \tilde{D}_0/4)^{1/2} + \tanh(2\tilde{h}_0^{-1/2} \tilde{L}_0/\tilde{D}_0^{1/2})}{1 + (\tilde{h}_0 \tilde{D}_0/4)^{1/2} \tanh(2\tilde{h}_0^{-1/2} \tilde{L}_0/\tilde{D}_0^{1/2})} \right] \tag{41}$$

$$\tilde{h}_1 = n_1 \tilde{q}_0 / (\pi \tilde{D}_1 \tilde{L}_1) \tag{42}$$

$$\tilde{q}_1 = \frac{\pi}{2} \tilde{D}_1^{3/2} \tilde{h}_1^{-1/2} \tanh(2\tilde{L}_1 \tilde{h}_1^{-1/2} / \tilde{D}_1^{1/2}) \tag{43}$$

The nonlinear Eq. (35) was combined with Eq. (36) and solved for  $\tilde{D}_1$  and  $\tilde{D}_0$ . The numerical procedure was based on the Newton–Raphson method with Bisection Technique. The variables were determined in the sequence represented by Eqs. (33)–(43).

In the first phase of the optimization we varied  $y$  ( $= D_1/D_0$ ) and maximized the global thermal conductance  $\tilde{q}_1$ . This phase is illustrated in the upper frame of Fig. 2

for the case  $\phi = 0.1$ ,  $Re = 10^4$  and  $Pr = 0.7$ . The result is recorded as  $\tilde{q}_{1,m}$ , in the lower frame, where the subscript “m” indicates that  $\tilde{q}_1$  has been maximized once. We repeated this procedure for many values of  $x$  ( $= H_1/L_1$ ) until we achieved the second maximization of the global conductance. The lower frame of Fig. 2 shows a second, well defined maximum with respect to the external aspect ratio of the cylindrical assembly.

**5. Results**

The double maximization of  $\tilde{q}_1$  was repeated for many combinations of  $\phi$  and  $Re$ , which covered the domain  $0.01 \leq \phi \leq 0.2$  and  $10^4 < Re < 10^6$ . The results are condensed in Figs. 3–6. The twice-maximized conductance  $\tilde{q}_{1,mm}$  (Fig. 3) increases as the solid volume fraction  $\phi$  and the external Reynolds number  $Re$  increase. These trends are expected: more fin material and a faster flow enhance the transfer of heat in a constrained volume. Each curve exhibits a change in darkness at a point that corresponds to the upper limit of the  $Re$  range indicated in Eq. (27). The solid black

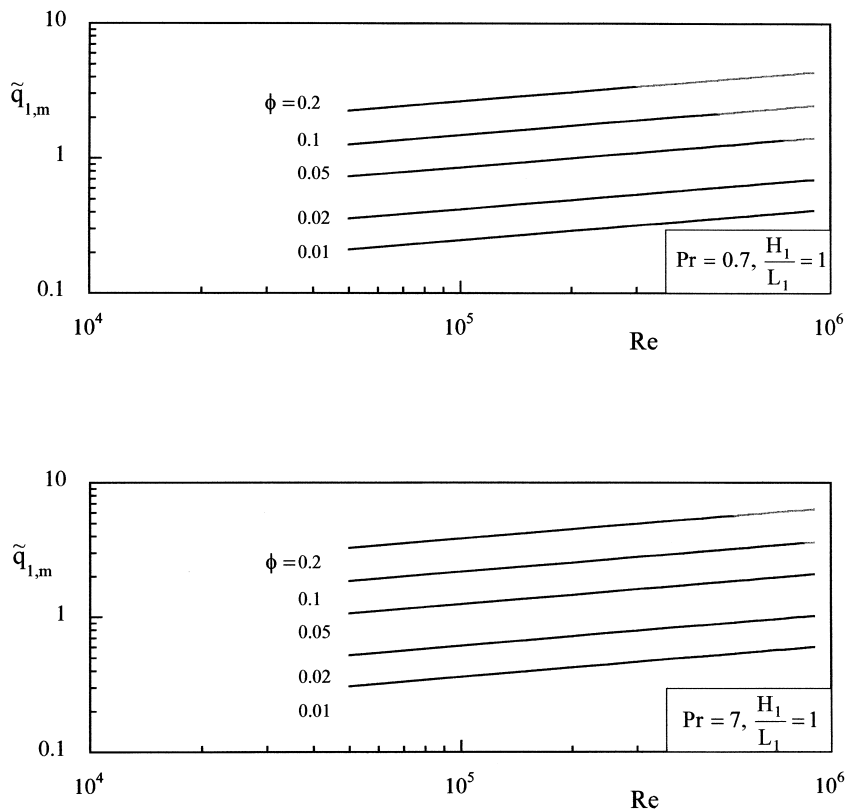


Fig. 7. The maximized global thermal conductance when the external shape is set at  $H_1/L_1 = 1$ .

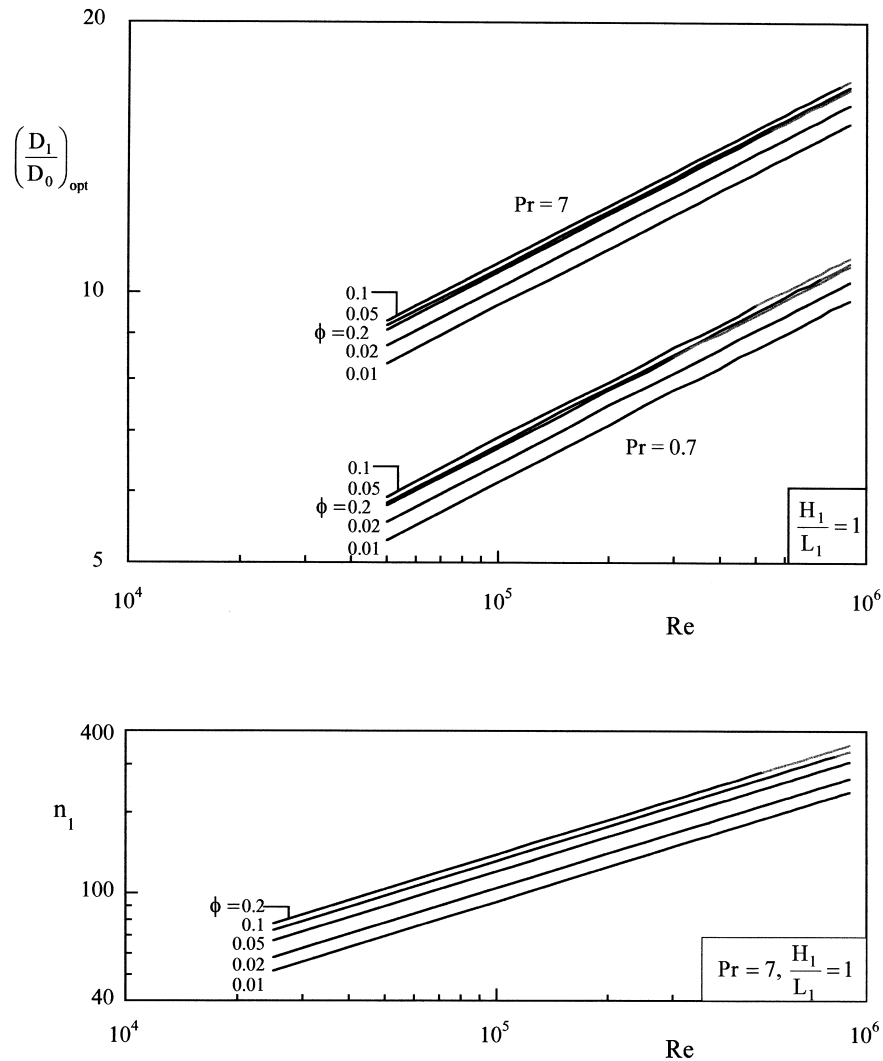


Fig. 8. The optimized ratio of fin thicknesses and the corresponding number of elemental fins when the external shape is set at  $H_1/L_1 = 1$ .

portion of the curve are for  $Re$  values in agreement with Eq. (27), i.e., in the range where  $\tilde{S}$  correlation (22) and (25) has been tested.

The next figures document the optimized architecture of the assembly, for designs where the global conductance has been maximized twice, in accordance with Figs. 1–3. The optimized external aspect ratio  $(H_1/L_1)_{\text{opt}}$  is shown in Fig. 4. This ratio decreases as  $\phi$  increases, and is relatively insensitive to the external flow ( $Re$ ). The numerical values of  $H_1/L_1$  are considerably greater than 1, which makes them somewhat incompatible with the model envisaged in Fig. 1. To this observation we return in Section 6. The optimized external dimensions of the assembly can be calculated by combining  $(H_1/L_1)_{\text{opt}}$  with the volume constraint.

For example, the diameter is given by

$$\tilde{H}_1 = \left( \frac{4 \tilde{H}_1}{\pi \tilde{L}_1} \right)^{1/3} \quad (44)$$

The ratio of fin thicknesses  $(D_1/D_0)_{\text{opt}}$  is relatively insensitive to the solid volume fraction and the Prandtl number (Fig. 5). The effect of  $Re$  continues to be weak. The values of  $(D_1/D_0)_{\text{opt}}$  are of order 10, and, combined with the values of  $(H_1/L_1)_{\text{opt}}$  seen earlier, they describe a design with long and thin elemental fins attached to a short and thick stem. The individual thicknesses ( $D_0$ ,  $D_1$ ) can be calculated from the  $\phi$  constraint (10) and (11) in combination with the  $(D_1/$

$D_0)_{opt}$  result of Fig. 5, and the external dimensions ( $L_1, L_0$ ) derived (cf. Eqs. (44) and (3)).

Fig. 6 is a summary of other features that accompany the geometry optimized in Figs. 3–5. The top frame shows the average spacing between the elemental fins, as a fraction of the diameter of the assembly. The ratio  $S/H_1$  is smaller than  $1/10$ , and relatively insensitive to  $\phi$  and  $Re$ . The spacings are smaller when there is more material, and when the fluid flows faster. The latter is a common feature of all the optimized spacings reported in the electronics cooling literature [15].

The lower frame of Fig. 6 shows the corresponding number of elemental fins:  $n_1$  is of order 10, and increases as  $Re$  increases. The earlier conclusions ( $H_1/L_1 \approx 10, S/H_1 \approx 1/10$ ), suggest that this relatively small number of elemental fins can be fitted in very few sub-groups (discs) of the type illustrated on the right side of Fig. 1. In other words, instead of the “cylindrical brush” architecture suggested by Fig. 1, the optimized assembly resembles more closely the spokes of a few wheels or umbrellas, all mounted on the same short stem. It is not a coincidence, then, that in an ongoing study of fin assemblies with very few elements (two, four, six) [18], the optimized designs tended toward the umbrella with short stem architecture.

The number of elemental fins ( $n_1$ ) was monitored during each double optimization run, in order to limit the calculations only to assemblies that are realistic, i.e. structures in which the elemental fins can be fitted without interference. The maximum number of fins that fit in a wheel-shaped subgroup is  $\pi D_1/D_0$  in this limit the bases of the elemental fins just touch. The number of sub-groups that can be installed on the  $L_1$  stem is  $L_1/(S + D_0)$ . In conclusion, the maximum number of elemental fins is  $n_{1,max} = \pi(D_1/D_0)L_1/(S + D_0)$ , which according to the optimized results of Figs. 4–6 is a number of order 30: this is larger than the  $n_1$  values plotted in the lower half of Fig. 6, indicating that the optimized elements can be fitted in an assembly. In addition, the  $n_{1,max}$  criterion allowed us to simplify the numerical search for the two maxima (Fig. 2) by searching only in the  $n_1 < n_{1,max}$  domain.

**6. Fixed external aspect ratio**

Brush-like constructs can be optimized by fixing the external shape of the volume. In this case the optimization has only one degree of freedom: the ratio of diameters. In Figs. 7 and 8 we show the results obtained for the fixed  $H_1/L_1$ . The behavior is

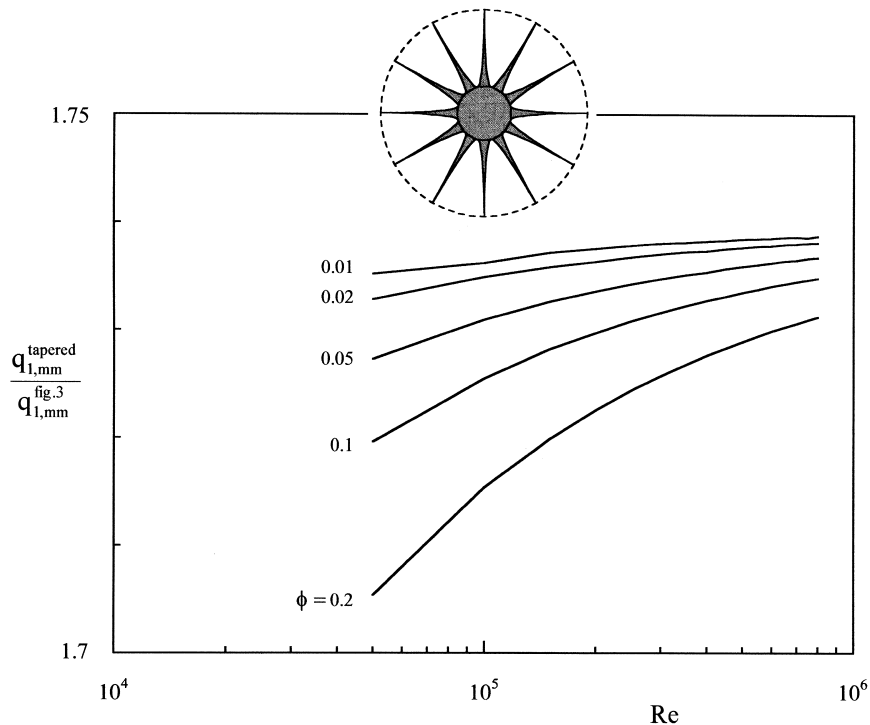


Fig. 9. The increase in global conductance caused by the tapering of the fin profile.

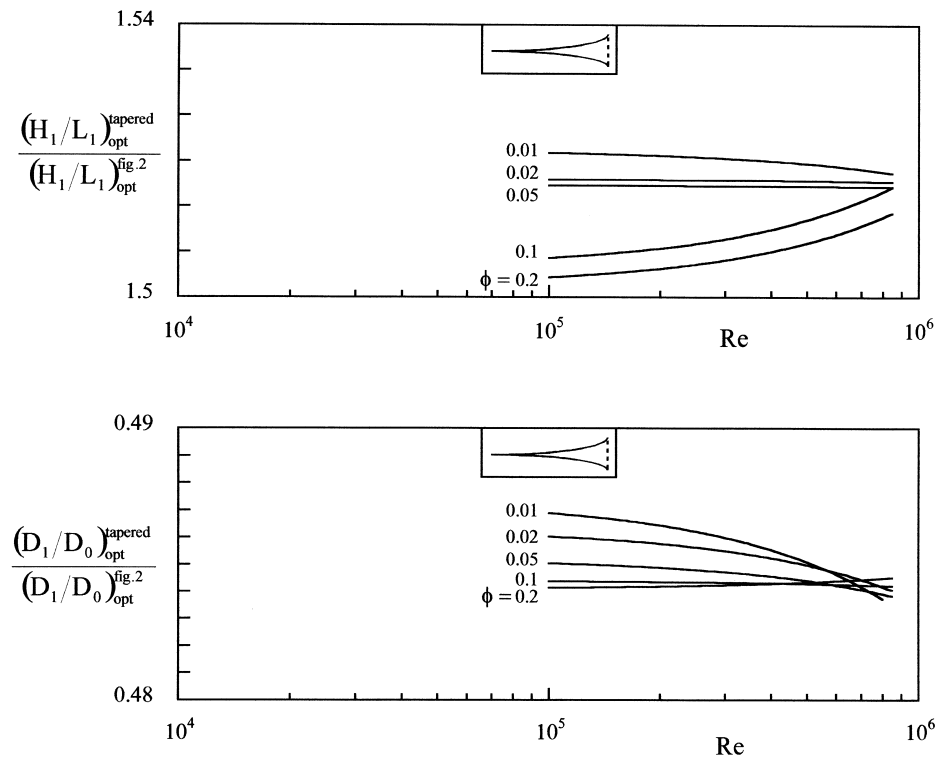


Fig. 10. The relative changes in the external and internal ratios, when the design is changed from constant diameters (Fig. 1) to tapered cylinders.

similar to what we found in Figs. 3, 5 and 6, however, some differences are worth noting.

The maximized conductance (Fig. 7) is lower than in Fig. 3. This comparison shows the relative benefit associated with optimizing the external aspect ratio (Fig. 3), or the penalty associated with using an aspect ratio that is easier to construct (Fig. 7).

The optimized ratio of diameters (Fig. 8, top) is smaller than in Fig. 5, in other words, the distribution of thicknesses is relatively more uniform. The lower part of Fig. 8 shows that the number of elemental fins is of order 100, which is considerably larger than in Fig. 6. Taken together, the results of Figs. 7 and 8 describe an assembly that looks more like a cylindrical brush.

## 7. Conclusions

In this study we showed that the global maximization of thermal conductance subject to volume and fin material constraints leads to a tree structure in which every geometric detail is derived from principle. In the cylindrical geometry, the parameters derived from optimization are the external aspect ratio of the volume,

and the internal ratio between the fin diameters (Fig. 2). The structure is a ‘first-construct’ with many radial branches distributed on a central stem. We did not continue the method toward constructs of higher order, because the relatively flat cylindrical trees optimized at the first level cannot be grouped smoothly on a new stem, into larger cylindrical volumes.

An important conclusion is the robustness of the optimized geometric features. These features are relatively insensitive to changes in the external parameters imposed on the design. For example, the amount of fin material has almost no effect on the optimized ratio of fin diameters (Figs. 5 and 8). The Prandtl number has a weak effect on geometry. More noticeable is the effect of the free stream velocity ( $Re$ ): the design shifts toward flatter shapes (larger  $H_1/L_1$ ) and larger steps in fin diameter as the velocity increases.

The results developed in this paper are orientative in nature, as they are based on several modeling approximations. In addition to the unidirectional conduction model, we adopted results from the geometric optimization of forced convection arrangements [15] in order to select the length scale of the spacing between elemental fins. The correlations for optimal spacings (e.g., Eq. (22)) require further development and testing,

so that their range can be increased. This study shows that a new geometry on which the optimal spacing ideas of Refs. [14–17] can be investigated is the geometry of Fig. 1. One way to start would be to test several designs with different spacings (numbers of fins), using devices with nearly isothermal solid parts.

Even the model employed in this paper can be improved, for example, by abandoning some of the simplifying assumptions made at the start. One assumption is the cylindrical fin of constant diameter. We repeated the study for the case where the elemental cylinder ( $D_0$ ) is tapered, while maintaining the amount of fin material fixed. This is a classical fin improvement technique [19]. The tapered shape is represented by (Fig. 9 inset) the diameter function  $D(x) = D_b(x/L_0)^2$ , where  $x$  is measured away from the tip, and  $D_b$  is the fin diameter at the base. The average diameter is  $D_0 = 0.45 D_b$ ; this diameter corresponds to the constant- $D_0$  used in the preceding parts of the paper, that is, when the elemental volume  $V_0$  is the same in both designs. In the tapered- $D_0$  case the elemental volume is  $V_0 = (\pi/20)D_b^2L_0$ .

The effect of this design change is documented in Fig. 9, where the new global conductance is compared with the twice maximized conductance of the constant-diameter design (Fig. 3). The tapering of the fins increases the conductance by a factor of approximately 1.7.

The corresponding geometric features of the tree with tapered elements are documented in Fig. 10, again, with reference to the constant-diameter design. We see that the optimized geometry is nearly the same as in the simpler design with constant diameters. This reinforces the conclusion that the optimized geometry is robust, and that when more degrees of freedom are relaxed (e.g., cylinder diameter) the optimized tree looks more natural.

The designs described in this paper are examples of the more general method of deducing optimal geometric form from a global optimization principle. The thought that the same principle can be used to explain and predict the geometric form (e.g., tree networks) of natural flow systems is constructal theory [8–12]. The applications of this methodology in the optimization of engineering and natural systems are the subject of a new book [20].

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